

WEST Search History

DATE: Wednesday, October 08, 2003

Set Name Query
side by side

Hit Count Set Name
result set

DB=JPAB,EPAB,DWPI; THES=ASSIGNEE; PLUR=YES; OP=OR

L17 bid\$ and stock and ((third adj party) or broker) 3 L17

L16 bid\$ and ask\$ and stock and ((third adj party) or broker) 0 L16

DB=USPT; THES=ASSIGNEE; PLUR=YES; OP=OR

L15 L7 and (entity with bid with "S2") 1 L15

L14 L7 and (entity with bid with (((financ\$ with transaction) and (identi\$ same (audio or voice) same (image or graphic or picture) same compar\$)) and (identi\$ same ((audio or voice) with (image or graphic or picture) with compar\$)))) 0 L14

L13 L7 and ("trading entity S1" with bid with (((financ\$ with transaction) and (identi\$ same (audio or voice) same (image or graphic or picture) same compar\$)) and (identi\$ same ((audio or voice) with (image or graphic or picture) with compar\$)))) 0 L13

L12 L7 and ("trading entity S1" with bid with (((financ\$ with transaction) and (identi\$ same (audio or voice) same (image or graphic or picture) same compar\$)) and (identi\$ same ((audio or voice) with (image or graphic or picture) with compar\$)))) 0 L12

L11 L7 and ("a first trading entity, trading entity S1, enters an offer which matches a bid entered by a second trading entity, trading entity S2") 0 L11

L10 L7 and (automatic\$) 1 L10

L9 L7 and (auto\$ same respond\$) 0 L9

L8 L7 and (auto\$ with respond\$) 0 L8

L7 6519574.pn. 1 L7

L6 L1 and auto\$ 1 L6

L5 L1 and (auto\$ same respond\$) 0 L5

L4 L1 and (auto\$ with respond\$) 0 L4

L3 L1 and ((stock adj3 OPALS adj3 administer\$) with (uniqu\$ ajd identif\$)) 1 L3

L2 L1 and "each stock of each OPALS being administered by the data processing system must be uniquely identified " 0 L2

L1 5946667.pn. 1 L1

END OF SEARCH HISTORY

Terms	Documents
bid\$ and stock and ((third adj party) or broker)	3

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Search Results - Record(s) 1 through 3 of 3 returned.

☐ 1. Document ID: US 20020184237 A1

L17: Entry 1 of 3

File: DWPI

Dec 5, 2002

DERWENT-ACC-NO: 2003-209774

DERWENT-WEEK: 200320

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TITLE: Electronic trading activity data distribution system for online financial services, consolidates trading data received from several electronic communication networks in standard format

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw Desc	Clip Img	Image									

☐ 2. Document ID: US 20030088499 A1 EP 1262894 A1 WO 200299563 A2

L17: Entry 2 of 3

File: DWPI

May 8, 2003

DERWENT-ACC-NO: 2003-077991

DERWENT-WEEK: 200337

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TITLE: Electronic trading interface provision method e.g. for stock market involves displaying passive trading commands received from broker and principal workstations, in different colors and fonts, to indicate bid availability

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw Desc	Clip Img	Image									

☐ 3. Document ID: US 20020107807 A1

L17: Entry 3 of 3

File: DWPI

Aug 8, 2002

DERWENT-ACC-NO: 2002-674224

DERWENT-WEEK: 200272

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TITLE: Information providing system for personal assistant system, processes query received from mobile client so that requested information is in format displayable in mobile client

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw Desc	Clip Img	Image									

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Terms	Documents
bid\$ and stock and ((third adj party) or broker)	3

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L17: Entry 2 of 3

File: DWPI

May 8, 2003

DERWENT-ACC-NO: 2003-077991

DERWENT-WEEK: 200337

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TITLE: Electronic trading interface provision method e.g. for stock market involves displaying passive trading commands received from broker and principal workstations, in different colors and fonts, to indicate bid availability

INVENTOR: GILBERT, A C; STERGIOPOULOS, A ; TSELEPIS, W P ; GILBERT, M A ; TSELEPIS, M K

PATENT-ASSIGNEE: ESPEED INC (ESPE), GILBERT A C (GILBI), GILBERT M A (GILBI), STERGIOPOULOS A (STERI), TSELEPIS M K (TSELI), TSELEPIS W P (TSELI)

PRIORITY-DATA: 2002US-0047607 (January 14, 2002), 2001US-295300P (June 1, 2001)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
US 20030088499 A1	May 8, 2003		000	G06F017/60
EP 1262894 A1	December 4, 2002	E	019	G06F017/60
WO 200299563 A2	December 12, 2002	E	000	G06F000/00

DESIGNATED-STATES: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

APPLICATION-DATA:

PUB-NO	APPL-DATE	APPL-NO	DESCRIPTOR
US20030088499A1	June 1, 2001	2001US-295300P	Provisional
US20030088499A1	January 14, 2002	2002US-0047607	
EP 1262894A1	May 27, 2002	2002EP-0253702	
WO 200299563A2	May 24, 2002	2002WO-US16820	

INT-CL (IPC): G06 F 0/00; G06 F 17/60

ABSTRACTED-PUB-NO: EP 1262894A

BASIC-ABSTRACT:

NOVELTY - The passive trading commands received from broker and principal workstations are displayed in different colors and fonts for indicating bid availability on a trader workstation.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) Method for configuring trading interface settings;
- (2) System for presenting trading interface;
- (3) Electronic trading system; and

(4) System for developing trading interface settings.

USE - For electronic trading system (claimed) used in stock, bonds, currency and commodities market.

ADVANTAGE - Permits principal/broker trading by indicating bid availability through display of trading commands in different colors and fonts.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the hardware for implementing electronic trading.

ABSTRACTED-PUB-NO: EP 1262894A
EQUIVALENT-ABSTRACTS:

CHOSEN-DRAWING: Dwg.1/7

DERWENT-CLASS: T01 T05 W01
EPI-CODES: T01-N01A1; T01-N01A2A; T05-L02; W01-C05B3C;